

05/23/00  
JCT72 U.S. PTO

09/576211

Transmittal Form w/o Declaration


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|---|--|---|---|----------|--------------|
| <b>UTILITY<br/>PATENT APPLICATION<br/>TRANSMITTAL</b><br><br>(Only for new nonprovisional applications<br>under 37 CFR 1.53(b))   | Attorney Docket No.                            | <b>NOK-004</b>  | Total Pages   |          |              |
|   | First named Inventor or Application Identifier |   | Katsunori YANAGIDA  |          |              |
|   | Title of Invention                             |   | CARBON MATERIALS, ELECTRODES AND<br>NONAQUEOUS ELECTROLYTE SECONDARY<br>CELLS |          |              |
| <b>APPLICATION ELEMENTS</b><br>See MPEP chapter 600 concerning utility patent application<br>contents.  |  | ADDRESS TO: Assistant Commissioner for Patents<br>Box Patent Application<br>Washington, DC 20231  |   |          |              |
| <p>1. <input checked="" type="checkbox"/> Fee Transmittal Form</p> <p>2. <input checked="" type="checkbox"/> Specification [Total Pages <u>71</u> ]</p> <ul style="list-style-type: none"><li>- Descriptive title of the Invention</li><li>- Cross References to Related Applications</li><li>- Statement Regarding Fed sponsored R &amp; D</li><li>- Reference to Microfiche Appendix</li><li>- Background of the Invention</li><li>- Brief Summary of the Invention</li><li>- Brief Description of the Drawings (if filed)</li><li>- Detailed Description</li><li>- Claim(s)</li><li>- Abstract of the Disclosure</li></ul> <p>3. <input checked="" type="checkbox"/> Formal Drawing (35 USC 113) [Total Sheet <u>1</u> ]</p> <p>4. <input type="checkbox"/> Oath or Declaration [Total pages <u>  </u> ]</p> <ul style="list-style-type: none"><li>a. <input type="checkbox"/> Newly executed (original or copy)</li><li>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))<br/>(for continuation/divisional with Box 17 completed)<br/>[Note Box 5 below]</li><li>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b><br/>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</li></ul> <p>5. <input type="checkbox"/> Incorporation By Reference (useable if Box 4b is checked)<br/>The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</p> |  | <p>6. <input type="checkbox"/> Microfiche Computer Program (Appendix)</p> <p>7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</p> <ul style="list-style-type: none"><li>a. <input type="checkbox"/> Computer Readable Copy</li><li>b. <input type="checkbox"/> Paper Copy (identical to computer copy)</li><li>c. <input type="checkbox"/> Statement verifying identity of above copies</li></ul> <p><b>ACCOMPANYING APPLICATION PARTS</b></p> <p>8. <input type="checkbox"/> Assignment papers (cover sheet &amp; document(s))</p> <p>9. <input type="checkbox"/> 37 CFR 3.73(b) Statement (When there is an assignee)</p> <ul style="list-style-type: none"><li><input type="checkbox"/> Power of Attorney</li></ul> <p>10. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>11. <input type="checkbox"/> Information Disclosure Statement (IDS) /PTO-1449</p> <ul style="list-style-type: none"><li><input type="checkbox"/> Copies of IDS Citations</li></ul> <p>12. <input type="checkbox"/> Preliminary Amendment</p> <p>13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)</p> <p>14. <input type="checkbox"/> Small Entity Statement(s)</p> <ul style="list-style-type: none"><li><input type="checkbox"/> Statement filed in prior application, status still proper and desired</li></ul> <p>15. <input checked="" type="checkbox"/> Certified Copy of Priority Documents</p> <ul style="list-style-type: none"><li><input checked="" type="checkbox"/> Priority of application Nos. 11-145,143 and 2000-033,801 filed on May 25, 1999 and February 10, 2000, respectively in Japan is claimed under 35 USC 119.</li><li><input type="checkbox"/> The certified copy has been filed in prior application Serial No. 08/*.</li></ul> <p>16. <input type="checkbox"/> Other: <u>  </u></p> <p><b>OTHER</b></p> <p>17. <input type="checkbox"/> Priority of <u>  </u> Patent Application No. <u>  </u> filed <u>  </u> is claimed under 35 USC 119.</p> |   |          |              |
| 17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:<br><input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in part (CIP)<br>of prior application No.: <u>08/  </u>   |  |   |   |          |              |
| <b>18. CORRESPONDENCE ADDRESS</b>   |  |   |   |          |              |
| <input checked="" type="checkbox"/> Customer Number or Bar Code Label <u>020374</u> or <input type="checkbox"/> Correspondence address below  |  |   |   |          |              |
| NAME  | KUBOVCIK & KUBOVCIK                            |   |   |          |              |
| ADDRESS   | 900 17th Street, N.W.                          |   |   |          |              |
| CITY  | Washington                                     | STATE   | DC  | ZIP CODE | 20006        |
| FILING DATE   | May 23, 2000                                   | TEL   | 202-887-9023  | FAX      | 202-887-9093 |

|   |                        |                    |
|---|------------------------|--------------------|
| <b>FEE TRANSMITTAL</b><br><br>Note: Effective November 10, 1998 | Application Number     | 09/                |
|   | Filing Date            | May 23, 2000       |
|   | First Named Inventor   | Katsunori YANAGIDA |
|   | Group Art Unit         | Not Assigned       |
|   | Examiner Name          | Not Assigned       |
|   | Attorney Docket Number | NOK-004            |

| CLAIMS AS FILED-PART 1                             |              |              | SMALL ENTITY |          | OTHER THAN SMALL ENTITY |            |
|--|--------------|--------------|--------------|----------|-------------------------|------------|
| FOR  | NUMBER FILED | NUMBER EXTRA | RATE         | FEE      | RATE                    | FEE        |
| BASIC FEE<br>(37 CFR 1.16 (a))                     |              |              |              | \$345.00 |                         | \$690.00   |
| TOTAL CLAIMS<br>(37 CFR 1.16 (c))                  | 80 - 20 =    | 60           | \$9.00       |          | \$18.00                 | \$1,080.00 |
| INDEPENDENT CLAIMS<br>(37 CFR 1.16 (B))            | 6 - 3 =      | 3            | \$39.00      |          | \$78.00                 | \$234.00   |
| MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16 (d)) |              |              | \$130.00     |          | \$260.00                | \$0.00     |
|  |              |              | SUB TOTAL    |          | SUB TOTAL               | \$1,770.00 |
| SURCHARGE-LATE FILING FEE OR DECLARATION           |              |              | \$65.00      |          | \$130.00                | \$130.00   |
| RECORDING ASSIGNMENT                               |              |              | \$40.00      |          | \$40.00                 |            |
| TOTAL  |              |              |              | \$0.00   |                         | \$1,900.00 |

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|--------------------------|
| <b>METHOD OF PAYMENT</b> |
| Payment Deferred         |

|  |   |                  |  |
|--|---|------------------|--|
| <b>SIGNATURE OF ATTORNEY, OR AGENT</b> |   |                  |  |
| NAME                                   | Keiko Tanaka Kubovcik   | REGISTRATION No. | 40,428   |
| SIGNATURE                              |  | ADDRESS          | KUBOVCIK & KUBOVCIK<br>900 17th Street, N.W.<br>Washington, D.C. 20006 |
|  |   | TELEPHONE        | 202-887-9023   |
| DATE                                   | May 23, 2000  | FAX              | 202-887-9093   |

KTK/esc